OCALA BREEDERS' SALES COMPANY

Policy for Medication, Prohibited Practices, Riding Crops and Horseshoes (Sections in red Updated March 2022)

Medication

All medication administered to a horse within 72 hours of an under tack show or beginning of a sales session must be reported the earlier of 12 hours after treatment or 1 hour prior to an under tack show and/or sales session. The reports must be signed by a Florida licensed veterinarian and submitted to the OBS main office or Repository. The reports must identify the medication and the amount, strength and mode of administration; the date and time of administration; and the diagnosis and/or reason for treatment. Medications may only be administered at or below manufacturers recommended dosages.

Controlled Therapeutic Medication Guidelines

The administration of nonsteroidal anti-inflammatory drugs (NSAIDs) must be in accordance with the Association of Racing Commissioners International (ARCI) schedule for controlled therapeutic medications (ARCI controlled therapeutic medications schedule for horses-version 4.1 (January 2019) subject to the additional restrictions listed below. PHENYLBUTAZONE (BUTE), FLUNIXIN (BANAMINE) AND KETOPROFEN (KETOFEN) ARE THE ONLY ALLOWABLE NSAIDS. ALL OTHER NSAIDS ARE NOT ALLOWED (Category 3 Penalty- subject to Fines & Suspension). No medication may be administered 24 hours prior to a horse's under tack show performance.

<u>Additional restrictions/clarifications</u>:

1) ONLY ONE (1) OF THE THREE ALLOWABLE NSAIDs (BUTE, BANAMINE, KETOFEN) MAY BE PRESENT IN A TEST SAMPLE-STACKING OF NSAIDs IS PROHIBITED. NO NSAID MAY BE ADMINISTERED 24 HOURS PRIOR TO A HORSE'S SALE SESSION. (Category 3 Penalty- subject to Fines & Suspension)

Summary of RCI recommended withdrawal times for administration of NSAIDs

Flunixin (Banamine) Suggested withdrawal: 32 hours

- Single intravenous dose of flunixin as Banamine (flunixin meglumine) at 1.1 milligram per kilogram
- 20 nanogram per milliliter of plasma or serum

Ketoprofen (Ketofen, Orudis)

- Suggested withdrawal: 24 hours
- Single intravenous dose of ketoprofen as Ketofen at 2.2 milligrams per kilogram
- 2 nanograms per milliliter of plasma or serum

Phenylbutazone (Bute) Suggested withdrawal: 24 hours

- Single intravenous dose of phenylbutazone at 4.0 milligrams per kilogram
- 2 micrograms per milliliter of plasma or serum
- 2) BRONCHODILATORS (Clenbuterol, Albuterol, and all other bronchodilators) ARE PROHIBITED SUBSTANCES and may NOT be present in a test sample. (Category 1 Penalty- subject to Return, Fines & Suspension)
- 3) No more than one (1) corticosteroid may be present in a test sample. NO CORTICOSTEROID MAY BE ADMINISTERED 24 HOURS PRIOR TO A HORSE'S SALE SESSION (Category 3 Penalty- subject to Fines & Suspension) PLEASE NOTE:
 - a) Many throat wash medications contain corticosteroids. Consult your veterinarian when creating a treatment plan.
 - Depo Medrol remains in a horse's system longer than many other medications. A positive test may occur from treatments prior to arriving on the sales grounds.
- 4) Intra-articular injections are prohibited on the sales grounds. (Category 2 Penalty- subject to Return, Fines & Suspension)
- 5) The administration of anabolic androgenic steroids at any time to weanlings, yearlings, 2-year-olds and horses of racing age is prohibited and may **NOT** be present in a test sample. (Category 1 Penalty- subject to Return, Fines & Suspension)
- 6) The administration of bisphosphonates at any time to any horse under four years old is prohibited and may **NOT** be present in a test sample. (Category 1 Penalty- subject to Return, Fines & Suspension)
- 7) The following medications may **NOT** be administered on the sales grounds or present in a test sample (Category 1 Penalty- subject to Return, Fines & Suspension):
 - All substances classified as either Class 1 or Class 2 by the Association of Racing Commissioners International (ARCI).
 - b) Furosemide (Salix) (except for horses that have raced on Furosemide)
 - Procaine Penicillin c)
 - d) Medroxyprogesterone
 - e) **Antihistamines**
 - f) Guanabenz
 - Drugs or medications for which no acceptable threshold concentration has been established by the ARCI.

Please note: Fluphenazine (Prolixin), Reserpine (Serpasil), Carisoprodol (Soma, Rela) are class 2 medications. Many herbal supplements contain prohibited substances including bronchodilators and stimulants.

Please review these medication policies with your veterinarian to assure compliance.

Reference Materials

Please use the reference materials listed below as a resource when working with your veterinarian on any treatment plan. These materials may also be found on the OBS website under the Consignor Information tab:

ARCI Uniform Classification Guidelines: <u>ARCI Uniform Classification Guideline for foreign substances version 14.0 (January 2019)</u>
Controlled Therapeutic Medication: <u>ARCI controlled therapeutic medications schedule for horses-version 4.1 (January 2019)</u> Florida Statute 535.08: FL Statute 535.08.pdf

These are also available at the OBS office and accessible on the OBS website under consignor information.

Testing

- 1) Post-breeze testing will be performed on horses selected at the sole discretion of OBS.
- 2) Buyers may request testing for anabolic androgenic steroids, bisphosphonates and undisclosed medication within 24 hours of purchase and prior to the horse leaving the sales grounds.
- 3)Test results will be submitted to the Department of Agriculture as required. The consignor is subject to penalties described in Florida Statute Chapter 535.08 including being charged with a misdemeanor and barred from selling for a period of 2 years.

Prohibited Practices

Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal:

- 1) Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy while on the sales grounds
- 2) Acupuncture and/or Electro-Stimulation with the intent of altering laryngeal function while on the sales grounds
- 3) **Electrical devices** designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.
- 4) Any invasive practice while on the sales grounds which intentionally conceals a material defect or chronic lameness.
- 5) **Internal Blister or other injections** to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.

Use of a Riding Crop and Spurs at an Under Tack Show

Excessive use of a riding crop while on the track is prohibited. Prior to the starting pole which the horse begins to breeze (i.e. 1/8, 1/4, or 3/8), a rider may only remove their hands from the reins and strike the horse two times behind the girth. Additionally, the swing of the riding crop may not be above the rider's shoulder and the crop must remain in the "turned down" position. At any time after the starting pole which the horse begins to breeze, a rider may only use the riding crop while both hands are holding the reins and may not strike the horse behind the girth. In situations where the safety of the horse or rider is in jeopardy, a riding crop may be used in front of the girth. Spurs are not allowed. Any infraction of this policy may result in a fine up to \$1,000 per incident to be paid by the consignor. Chronic offenders may be banned from riding on the sales grounds. The sales company or its representatives have full, final and sole authority to interpret and enforce this rule. Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of Ocala Breeders' Sales Company.

Horseshoes

A horse at a two-year-old in training sale may not train with front shoes that have toe grabs which exceed 2mm, or bends, turn-downs, stickers, jar caulks or any other traction device. Turn-downs of more than ¼" will not be permitted on hind shoes. For training on the Main track, OBS recommends the use of only flat Queen's Plate, Queen's Plate XT or equivalent.

Medication and Prohibited Practices Penalties

1. Category 1 & 2 - Subject to Return, Fines, & Suspension

- A. If a positive test result is received and a confirmation test is not requested or is confirmed by a laboratory selected by OBS for the administration of:
 - I. Any anabolic androgenic steroids
 - II. Undisclosed medication administered within 72 hours of sale or under tack show
 - III. An ARCI class 1 or 2 medication, Furosemide (Salix) (except for horses that have raced on Furosemide)
 - IV. procaine penicillin
 - V. Any bronchodilator
 - VI. Any bisphosphonate to any horse under four years old
 - VII. Medroxyprogesterone
 - VIII. Antihistamines
 - IX. Guanabenz
 - X. Drugs or medications for which no acceptable threshold concentration has been established by the ARCI.

The purchaser shall have the right to rescind the sale and the consignor shall refund any sales proceeds paid to consignor by OBS and pay the purchaser or OBS all proper expenses and legal fees incurred by either of them from the time of the sale until the return of the horse to the consignor or consignor's owner.

If the horse did not go through the auction ring, the horse shall be withdrawn from the sale and shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

If the horse went through the auction ring and was not sold, the horse shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

- B. Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal and the consignor shall be responsible for expenses incurred by the purchaser and for OBS fees, penalties, and expenses:
 - I. Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy while on the sales grounds
 - II. Acupuncture and/or Electro-Stimulation with the intent of altering laryngeal function while on the sales grounds
 - III. Electrical devices designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.
 - IV. Any invasive practice while on the sales grounds which intentionally conceals a material defect or chronic lameness
 - V. Internal Blister or other injections to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.
- C. In addition to the potential Return or Withdrawal of a horse, consignor will be subject to the penalties described in section three below.

2. Category 3 - Subject to Fines-Suspension

- A. The consignor will be subject to the penalties in section three (3) if a positive test result is received and a split sample test is not requested or is confirmed by a laboratory selected at the sole discretion of OBS which indicates the administration of:
 - I. Any medication within 24 hours of the horse's under tack show performance
 - II. More than one (1) corticosteroid
 - III. More than one (1) of the allowable (Bute, Banamine, Ketofen) non-steroidal anti-inflammatory drugs (NSAIDs)
 - IV. Approved allowable Therapeutic Medications at levels above the threshold indicated in the ARCI controlled therapeutic medications schedule for horses-version 4.1 (January 2019)
 - V. Use of an NSAID other than Phenylbutazone (Bute), Flunixin (Banamine) or Ketoprofen (Ketofen)

3. Fines-Suspension for Category 1, 2, & 3

- A. The consignor will be subject to the following penalties for positive test results or prohibited practices:
 - I. 1st offense Warning with a maximum fine of \$2,000; possible increased testing of consignors' horses at consignor expense.
 - II. 2nd offense Warning with a maximum fine of \$3,000; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 1 year to be determined by the OBS Board of Directors absent mitigating circumstances.
 - III. 3rd offense Fine of \$1,000 to \$5,000 absent mitigating circumstances; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 2 years to be determined by the OBS Board of Directors absent mitigating circumstances.
 - IV. Any positive test for a medication or practice in Category 1 or 2_may result in additional fines and penalties and incur a suspension of not less than 2 years to be determined by the OBS Board of Directors, absent mitigating circumstances.
- B. Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of OBS. Fines will be deducted from proceeds when available. Failure to pay fines may result in suspension from participating in OBS Sales.