

OCALA BREEDERS' SALES COMPANY

P.O. Box 99 Ocala, FL 34478 Fed-Ex and Express Mail: 1701 SW 60th Ave. Ocala, FL 34474
(352) 237-2154 Fax: (352) 237-3566 E-mail: obs@obssales.com website: www.obssales.com

Dear Consignor:

September 3, 2021

A total of 499 horses are cataloged for the 2021 OBS October Sale including 135 in the Selected session and 364 in the Open session plus supplemental entries. The sale will be held over two days, October 12-13. The Selected session will be held Tuesday October 12. The Open session will be held Wednesday October 13. Catalogs are available on the OBS website at obssales.com and will be shipped from our printer beginning September 20th.

Sale Schedule

Selected Session:	Tuesday, October 12	(1 – 135 + supplements)	1:00 PM start
Open Session:	Wednesday, October 13	(201 – 564 + supplements)	11:00 AM start

Supplemental entries will be accepted for both sessions until September 24th. Supplemental entries will appear in a separate catalog available on the sales grounds as well as on the OBS website and the equine sales catalog app for the Ipad. Contact Lauren Marks for more information.

REPOSITORY

Phone (352) 237-5544 ♦ email: repository@obssales.com

Repository Hours for the October Sale:

Friday, October 8 – Monday, October 11:	8:00 AM - 4:00 PM
Tuesday, October 12 – Wednesday, October 13:	8:00 AM - end of sale
Thursday, October 14:	8:00 AM – noon

RADIOGRAPHS MUST NOT BE TAKEN PRIOR TO WEDNESDAY, SEPTEMBER 22.

The OBS Repository will offer consignors the option of including videos of endoscopic examinations with the radiographic study. Endoscopic videos recorded prior to **October 5th** will not be accepted. Placing an endoscopic video in the Repository **WILL NOT** affect the OBS Throat Warranty. Please refer to the attached AAEP "Protocol for Pre-Sale Video Endoscopic Examination of the Upper Airway at Public Auction". This protocol may also be found on the OBS website under the "Consignor Information" tab.

Sale	Sale Date	Submitted by	Available to View
Yearlings	selling Oct 12-13	Friday, Oct 8	3:00 pm Sunday, Oct 10 12:00 pm

Walking Videos For The October Sale.

Beginning this year Consignors will be able to provide walking videos of all of their yearlings to be displayed on the OBS Sortable Master Index. Unlike past years where OBS contracted with one videographer to schedule appointments for shooting videos of the Selected Yearlings, **Consignors will be responsible for procuring their own videographers to produce and supply videos.** Consignors should keep in mind the following:

1. OBS will pay a stipend of \$125 for videos of **SELECTED SESSION** yearlings to be applied to the cost of a basic walking video. Any added features to a video (catalog page, voice over, additional audio, etc.) will be the responsibility of the seller.
2. Sellers may provide OBS videos of open session yearlings at their own expense which we will include on the sortable master index in the OBS website.
3. OBS reserves the right to reject any video based on quality and its ability to enhance a horse's marketability.
4. For additional information please refer to <https://www.obssales.com/2021/01/walking-video-guidelines/> for videographer guidelines on naming and composition of walking videos.

Our desire is to have video footage of all horses by September 27, and the videos posted on our website by October 1. Any horses that are coming from areas other than Ocala or Lexington that may present time/logistic issues with scheduling may be videoed when they arrive on the sales grounds.

NOTICE—VERY IMPORTANT!

PLEASE BE SURE TO ASSIGN YOUR DIGITAL JOCKEY CLUB CERTIFICATES TO OBS. IF YOU HAVE ANY QUESTIONS CONTACT SAM COOK IN THE OBS OFFICE IMMEDIATELY.

PLEASE PROVIDE PAPERWORK PROMPTLY. NOTIFY THE OBS OFFICE OF ANY PROBLEMS!! THE DEADLINE FOR ALL PAPERWORK TO AVOID A \$100 LATE FEE IS FRIDAY, OCTOBER 1st. PLEASE CONTACT YOUR BANK. THEY DO NOT SEND PAPERS AUTOMATICALLY. OVERNIGHT PACKAGES SHOULD BE SENT TO OUR STREET ADDRESS.

IT IS IMPORTANT THAT OBS HAS THE FOLLOWING DOCUMENTS ON FILE:

- Digital Jockey Club Certificate Assigned to OBS
- Coggins (dated within 6 months of date of sale)
- EVA Test (dated within 90 days of date of sale)
- EHV-1 vaccination record (dated between 14 and 90 days from sale)
- Authorized Agent Forms
- Signed Entry Contract

PLEASE NOTE

Please identify all horses on Coggins and EVA tests by registered name and/or dam & foaling year, not by nickname or barn name.

EHV-1 Guidelines

As many horses are transported to locations requiring an EHV-1 statement on the Health Certificates, **you must submit EHV-1 vaccination records**. Complete the attached form signed by your veterinarian. All horses shipping from out of state must be accompanied by a negative coggins and a health certificate.

Equine Piroplasmosis Testing

While an Equine Piroplasmosis test will **not** be required for the 2021 October sale, horses traveling from out of state should contact the Florida Department of Agriculture (850- 410-3800) for any additional documentation needed to enter the state of Florida.

Thoroughbred Aftercare Alliance

The Thoroughbred Aftercare Alliance (TAA) is an industry wide effort designed to serve as both the accrediting body for facilities that care for Thoroughbreds following their racing careers and a fundraising body to support these approved facilities. In order to achieve this goal, The TAA plans to develop sustainable funding from all points on the life cycle of the Thoroughbred from breeding, registration, sales, racing and all points in between, including veterinary care and transportation.

OBS has joined with the other major Thoroughbred sales companies in supporting the TAA. Beginning January 1, 2013, OBS, its buyers and consignors will contribute .05% (1/20th of 1 percent) of all sales unless a written request to opt out is submitted to OBS prior to the sale. This comes out to 5 cents for every \$100 in sales. On a \$100,000 sale each participant's contribution will be \$50 dollars. RNA's will not be included in contribution calculations.

REMINDER!

Carefully review catalog pages for accuracy, especially state-bred and stakes engagements. You, the consignor, are responsible and any errors could result in the return of the horse. Notify Lauren Marks of any corrections as soon as possible.

STABLING

COOPERATION AMONG CONSIGNORS IS APPRECIATED. Barn assignments are listed below. Stalls will be assigned at a later date. **Stall assignments for Yearlings will be available Tuesday, October 5th.** (if stalls are needed sooner please call the office to make arrangements.) **OBS WILL PROVIDE STRAW BEDDING. SHAVINGS ARE NOT PERMITTED.** Please do not use a stall or tack room without approval by OBS. Any stall not occupied by a sale horse may be reassigned. Contact Tracy Alderson for stabling information. The OBS feed store located at the stable gate will be open for feed, hay and supplies for your convenience from 7:30 AM to 5:30 PM weekdays, 7:30 AM to 4:30 PM Saturday, and 9:00 AM to 2:00 PM on Sunday, October 10.

Please be available at your barn two hours prior to the sale to expedite the process and provide assistance to our hip taggers. Please be reminded that if the buyer does not pick up the horse or make arrangements for its care, the consignor should insure the horse will be cared for. If you can no longer care for the horse, notify OBS so we may look after the horse. Do not remove the sales halter or hip tags from the horse. Halter tags may be picked up at the stable gate and returned there after the sale.

Please review the attached information sheets, and contact us for any assistance.

Sincerely,

Tod Wojciechowski
Director of Sales

STABLING INFORMATION

CONSIGNOR: _____

BARN: _____

*****STALLS WILL BE ASSIGNED PRIOR TO THE SALE*****

OBS OCTOBER 2021 SALES INFORMATION

STALLS: Do not use a stall or tack room without OBS approval. Stalls are assigned for sale horses only. Please cooperate with fellow consignors if tack rooms/stalls need to be shared.

MEDICATION, RIDING CROPS, HORSESHOES, and PROHIBITED PRACTICES: See attached policy.

POST-BREEZE TESTS: Blood tests will be performed on horses selected at the sole discretion of OBS at all under tack shows. Blood will be drawn at the test barn (Barn 12A) and results should be received within 48 hours. Any horse that receives undisclosed medication which is identified by the test is subject to withdrawal or return. Positive results will be submitted to the Florida Department of Agriculture as required.

POST-SALE TESTS: The purchaser may request a post-sale blood test within 24 hours of purchase according to Florida law. In addition, OBS policy allows the buyer to request a test for exogenous anabolic steroids at the time of purchase.

MEDICATION REPORTING: Medication reports must be submitted to OBS on a daily basis starting 72 hours prior to the first under tack show and continuously through sale day. Medication may be administered according to state guidelines described below while also abiding by further restrictions imposed by OBS policy. These forms must be completed accurately and signed by your veterinarian. Reports must be submitted to the office or Repository **within 12 hours of treatment or 1 hour prior to the sale session or under tack show**, whichever is earlier. Medication reports for each horse will be in the Repository and available to prospective buyers upon written request. Copies of those requests are available to the consignor.

SUMMARY OF MEDICATION REGULATIONS (FLORIDA CHAPTER 535.08): No person shall administer to a thoroughbred horse offered at a licensed public sale any substance recognized as an injectable, oral, or topical medication within 72 hours of the start of the sales session (or under tack show) unless the person is a licensed veterinarian and the medication is therapeutic or necessary for the treatment or prevention of an illness or injury and is reported to the sales organization. A separate medication form signed by a veterinarian must be submitted individually for each horse treated. Copies of 535.08 are available at OBS.

REPOSITORY: THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY. PLEASE REVIEW THE ENCLOSED RULES AND INFORMATION REGARDING THE REPOSITORY AND THE CHANGES TO THE OBS CONDITIONS OF SALE. The information may also be found on the OBS website.

EHV-1 VACCINATION RECORDS: OBS requires the EHV-1 vaccination records form signed by a veterinarian to be submitted.

EQUINE PIROPLASMOSIS TESTS: While an Equine Piroplasmosis test will **not** be required for the 2021 October sale, horses traveling from out of state should contact the Florida Department of Agriculture (850- 410-3800) for any additional documentation needed to enter the state of Florida.

CARE OF HORSE AFTER SALE: The consignor is responsible for the care of the horse until the buyer picks up the horse or for at least 48 hours after the sale. Notify OBS after that time so that we may look after the horse.

BEDDING: OBS WILL PROVIDE STRAW BEDDING. SHAVINGS ARE NOT PERMITTED

MUCK PICK - UP SCHEDULE: Muck wagons will be removed starting at 8:30 AM daily and returned at the end of the day.

SIGNS/STALL CARDS: Signs may not be hung in the shed row or by an entrance/exit of the barn. OBS will assist you in hanging signs from the barns. **OBS is not responsible for signs left after the sale.** Consignors are responsible for their own stall cards.

GATES: Please bring in your sales tack, feed, and equipment in early morning or after the sale. Trucks will not be allowed in the barn area after 9:00 AM each morning so consignors may show their horses

NO DOGS are allowed on the sale premises

Policy for Medication, Prohibited Practices, Riding Crops and Horseshoes (Updated December 2019)

Medication

All medication administered to a horse within 72 hours of an under tack show or beginning of a sales session must be reported the earlier of 12 hours after treatment or 1 hour **prior to an under tack show and/or sales session**. The reports must be signed by a Florida licensed veterinarian and submitted to the OBS main office or Repository. The reports must identify the medication and the amount, strength and mode of administration; the date and time of administration; and the diagnosis and/or reason for treatment. Medications may only be administered at or below manufacturers recommended dosages.

Controlled Therapeutic Medication Guidelines

The administration of nonsteroidal anti-inflammatory drugs (NSAIDs) must be in accordance with the Association of Racing Commissioners International (RCI) schedule for controlled therapeutic medications ([ARCI controlled therapeutic medications schedule version 4.1 \(January 2019\)](#)) subject to the additional restrictions listed below. **No medication may be administered 24 hours prior to a horse's under tack show performance.**

Additional restrictions/clarifications:

- 1) NO MORE THAN ONE (1) NSAID MAY BE PRESENT IN A TEST SAMPLE--**STACKING OF NSAIDS IS PROHIBITED (CAT 3 Penalty)**

Summary of RCI recommended withdrawal times for administration of NSAIDs

Diclofenac (Surpass)

Suggested withdrawal: 48 hours

- Five inch ribbon topical application of 1% diclofenac liposomal cream formulation
- 5 nanograms per milliliter of plasma or serum

Firocoxib (Equioxx)

Suggested withdrawal: 14 days

- Oral administration as EQUIOXX oral paste at a daily dose of 0.1 milligram per kilogram for four days
- 20 nanograms per milliliter of plasma or serum

Flunixin (Banamine)

Suggested withdrawal: 32 hours

- Single intravenous dose of flunixin as Banamine (flunixin meglumine) at 1.1 milligram per kilogram
- 20 nanogram per milliliter of plasma or serum

Ketoprofen (Ketofen, Orudis)

Suggested withdrawal: 24 hours

- Single intravenous dose of ketoprofen as Ketofen at 2.2 milligrams per kilogram
- 2 nanograms per milliliter of plasma or serum

Phenylbutazone (Bute)

Suggested withdrawal: 24 hours

- Single intravenous dose of phenylbutazone at 4.0 milligrams per kilogram
- 2 micrograms per milliliter of plasma or serum

- 2) BRONCHODILATORS (Clenbuterol, Albuterol, and all other bronchodilators) ARE NOW PROHIBITED SUBSTANCES and may **NOT** be present in a test sample. (CAT 1 Penalty)
- 3) No more than one (1) corticosteroid may be present in a test sample. (CAT 3 Penalty)
- Many throat wash medications contain corticosteroids. Consult your veterinarian when creating a treatment plan.
 - Depo Medrol remains in a horse's system longer than many other medications. A positive test may occur from treatments prior to arriving on the sales grounds.
- 4) Intra-articular injections are prohibited on the sales grounds. (CAT 2 Penalty)
- 5) The administration of anabolic androgenic steroids at any time to weanlings, yearlings, 2-year-olds and horses of racing age is prohibited and may **NOT** be present in a test sample. (CAT 1 Penalty)
- 6) The administration of bisphosphonates at any time to any horse under four years old is prohibited and may **NOT** be present in a test sample. (CAT 1 Penalty)
- 7) The following medications may **NOT** be administered on the sales grounds or present in a test sample (CAT 1 Penalty):
- All substances classified as Class 1 or Class 2 by the Association of Racing Commissioners International (RCI).
 - Furosemide (Salix) (except for horses that have raced on Furosemide)
 - Procaine Penicillin

Please note: Fluphenazine (Prolixin), Reserpine (Serpasil), Carisoprodol (Soma, Rela) are class 2 medications. Many herbal supplements contain prohibited substances including bronchodilators and stimulants.

Please review these medication policies with your veterinarian to assure compliance.

RCI Uniform Classification Guidelines: [ARCI Uniform Classification Guideline version 14.0 \(January 2019\)](#)

Controlled Therapeutic Medication: [ARCI controlled therapeutic medications schedule version 4.1 \(January 2019\)](#)

Florida Statute 535.08: [FL Statute 535.08.pdf](#)

These are also available at the OBS office and accessible on the OBS website under consignor information.

Testing

- 1) Post-breeze random testing will be performed on horses selected at the sole discretion of OBS.
- 2) Buyers may request testing for anabolic androgenic steroids and bisphosphonates at the time of purchase and for undisclosed medication within 24 hours of purchase and prior to the horse leaving the sales grounds.
- 3) Test results will be submitted to the Department of Agriculture as required. The consignor is subject to penalties described in Florida Statute Chapter 535.08 including being charged with a misdemeanor and barred from selling for a period of 2 years.

Prohibited Practices

Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal:

- 1) **Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy** while on the sales grounds
- 2) **Acupuncture and/or Electro-Stimulation** with the intent of altering laryngeal function while on the sales grounds
- 3) **Electrical devices** designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.
- 4) **Any invasive practice while on the sales grounds which intentionally conceals a material defect or chronic lameness.**
- 5) **Internal Blister or other injections** to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.

Under Tack Show

OBS may deny the participation of a horse in an under tack show at its sole discretion.

Excessive use of a riding crop while on the track is prohibited. Prior to the starting pole which the horse begins to breeze (i.e. 1/8, 1/4, or 3/8), a rider may only remove their hands from the reins and strike the horse one time behind the girth.

At any time after the starting pole which the horse begins to breeze, a rider may only use the riding crop while both hands are holding the reins and may not strike the horse behind the girth. A rider is prohibited from striking the horse in any manner beyond the finish line. In situations where the safety of the horse or rider is in jeopardy, a riding crop may be used in front of the girth. Spurs are not allowed. Any infraction of this policy may result in a fine up to \$1,000 per incident to be paid by the consignor. Chronic offenders may be banned from riding on the sales grounds. The sales company or its representatives have full, final and sole authority to interpret and enforce this rule. Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of Ocala Breeders' Sales Company.

Horseshoes

A horse at a two-year-old in training sale may not train with front shoes that have toe grabs which exceed 2mm, or bends, turn-downs, stickers, jar caulks or any other traction device. Turn-downs of more than ¼" will not be permitted on hind shoes. For training on the Main track, OBS recommends the use of only flat Queen's Plate, Queen's Plate XT or equivalent.

Medication and Prohibited Practices Penalties

Category 1 & 2 - Subject to Return, Fines, & Suspension

- 1) If a positive test result is received and a confirmation test is not requested or is confirmed by a laboratory selected by OBS for the administration of:
 - a) Any anabolic androgenic steroids;
 - b) Undisclosed medication administered within 72 hours of sale or under tack show;
 - c) An RCI class 1 or 2 medication, Furosemide (Salix) (except for horses that have raced on Furosemide), procaine penicillin;
 - d) Any bronchodilator;
 - e) Any bisphosphonate to any horse under four years old

The purchaser shall have the right to rescind the sale and the consignor shall refund any sales proceeds paid to consignor by OBS and pay the purchaser or OBS all proper expenses and legal fees incurred by either of them from the time of the sale until the return of the horse to the consignor or consignor's owner.

If the horse did not go through the auction ring, the horse shall be withdrawn from the sale and shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

If the horse went through the auction ring and was not sold, the horse shall be removed from the sales grounds immediately. The consignor shall be responsible for OBS fees, penalties, and expenses.

- 2) Horses that have been subject to any of the following prohibited practices shall be subject to return or withdrawal and the consignor shall be responsible for expenses incurred by the purchaser and for OBS fees, penalties, and expenses:
 - a) Extracorporeal Shock Wave Therapy or Radial Pulse Wave Therapy while on the sales grounds
 - b) Acupuncture and/or Electro-Stimulation with the intent of altering laryngeal function while on the sales grounds
 - c) Electrical devices designed or used to increase the speed of a horse. Anyone in possession of a prohibited electrical device will be banned from the OBS sales grounds.
 - d) Any invasive practice while on the sales grounds which intentionally conceals a material defect or chronic lameness
 - e) Internal Blister or other injections to the knee intended to have the effect of concealing the true conformation of the horse is not permitted at any time.

Category 3 - Subject to Fines-Suspension

- 3) The consignor will be subject to the penalties in section four (4) if a positive test result is received and a split sample test is not requested or is confirmed by a laboratory selected at the sole discretion of OBS which indicates the administration of: Nonsteroidal anti-inflammatory drugs (NSAID) at levels above the threshold indicated in the [ARCI controlled therapeutic medications schedule version 4.1 \(January 2019\)](#)
Any medication within 24 hours of the horse's under tack show performance
 - a) More than one (1) corticosteroid
 - b) More than one (1) non-steroidal anti-inflammatory drugs (NSAIDs)

Fines-Suspension for Category 1, 2, & 3

- 4) The consignor will be subject to the following penalties for positive test results in section One (1) and Three (3); or prohibited practices in section Two (2):
 - a) 1st offense - Warning with a maximum fine of \$1,000; possible increased testing of consignors' horses at consignor expense.
 - b) 2nd offense - Warning with a maximum fine of \$1,500; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 1 year to be determined by the OBS Board of Directors.
 - c) 3rd offense - Fine of \$500 to \$2,500 absent mitigating circumstances; increased testing of consignors' horses at consignor expense; possible suspension of consignor for up to 2 years to be determined by the OBS Board of Directors.
 - d) Any positive test for a medication which carries a class "A" penalty as defined by the [ARCI Uniform Classification Guideline version 14.0 \(January 2019\)](#) may result in additional fines or penalties to be determined by the OBS Board of Directors.
- 5) Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of OBS. Fines will be deducted from proceeds when available. Failure to pay fines may result in suspension from participating in OBS Sales.
- 6) Funds collected from fines levied will be distributed to a thoroughbred industry related charity at the sole discretion of the sales company. Fines will be deducted from proceeds when available. Failure to pay fines may result in suspension from participating in OBS Sales.

OCALA BREEDERS' SALES COMPANY

P.O. Box 99 Ocala, FL 34478 Fed-Ex and Express Mail: 1701 SW 60th Ave. Ocala, FL 34474
(352) 237-2154 Fax: (352) 237-3566 E-mail: obs@obssales.com website: www.obssales.com

OBS OCTOBER 2021

Horse(s) represented on this certificate of Veterinary Inspection:

Have not originated from a barn with a confirmed or suspect case of equine herpes virus or strangles, nor have shown signs suggestive of these diseases, nor have been febrile within the previous 3 weeks.

Have not originated from nor been stabled on a premise under quarantine or restriction due to EHV-1 or from a premise which has had herpes virus diagnosed during the previous 30 day period.

Have been vaccinated against EHV-1 no less than 14 days and no greater than 90 days.

Consignor: _____

<u>Hip #</u>	<u>Sire/Dam</u>	<u>Vaccination type</u> (Product name)	<u>Date</u>
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----

Veterinarian: _____

Veterinarian (please print): _____

OBS Repository Rules and Information

The Repository is located at the end of Barn 5 near the maintenance office

GENERAL INFORMATION

phone (352) 237-5544 ♦ email: repository@obssales.com

Repository Hours for the January Sale:

Friday, October 8 – Monday, October 11:	8:00 AM - 4:00 PM
Tuesday, October 12 – Wednesday, October 13:	8:00 AM - end of sale
Thursday, October 14:	8:00 AM – noon

RADIOGRAPHS MUST NOT BE TAKEN PRIOR TO WEDNESDAY, SEPTEMBER 22.

The OBS Repository will offer consignors the option of including videos of endoscopic examinations with the radiographic study. Endoscopic videos recorded prior to October 5th will not be accepted. Placing an endoscopic video in the Repository WILL NOT affect the OBS Throat Warranty. Please refer to the attached AAEP "Protocol for Pre-Sale Video Endoscopic Examination of the Upper Airway at Public Auction". This protocol may also be found on the OBS website under the "Consignor Information" tab.

The Repository is established for consignors to deposit radiographs and other relevant information for inspection by prospective Purchasers' registered veterinarians. The deposit and inspection of information, and access to the Repository are subject to the Conditions of Sale. Below is a summary of the Conditions of Sale concerning the Repository. (Condition Thirteenth) Please refer to the full version of the Conditions of Sale which may be found in the OBS catalog and the OBS website.

Summary of Repository Conditions (OBS Condition Thirteenth)

- Repository is voluntary
- Consignor warrants all information placed in Repository is accurate, valid and authentic or horse may be subject to return
- All information placed in the Repository is the property of the Consignor or Consignor's veterinarian and may be picked up 72 hours after the fall of the hammer. Consignor may grant permission for the Purchaser to receive the information
- Purchasers are responsible for conducting a full inspection of horses and Repository information
- Purchasers acknowledge Veterinary Radiographic Reports are;
 - Subjective veterinary opinions
 - NOT a substitute for a review of actual radiographs
- OBS will NOT review or warrant Repository information

Consignor access to repository activity

- Consignors will be provided a unique URL to obtain Repository activity information on each horse in their consignment via the internet from a computer or mobile device.
- Consignors must complete a Consignor Authorization form to allow employees to obtain printed Repository activity reports from the Repository. (**see attachment 5**)

RULES FOR SUBMISSION

- Radiographs must not be taken any earlier than twenty one (21) days prior to the start of the sale and delivered to the Repository along with all other veterinary information by the deadlines outlined on the attached calendar. (**see attachment 1**)
- See **attachment 2** for the prescribed views for the Repository. Any submission with less than the prescribed views will not be accepted. Consignors may include additional views to augment the submission.
- Radiographs must be submitted in the format outlined by Asteris Veterinary Digital Imaging Keystone Repository software either online or directly to the OBS Repository. All other information should be labeled with the hip number, dam's name and entered horse's year of birth.
- A Veterinary Radiographic Report must be submitted in addition to the radiographs. OBS will supply Consignors with a "stamped" copy of the Report. **THIS IS THE ONLY REPORT A CONSIGNOR MAY USE IN PRESENTING INFORMATION TO A POTENTIAL PURCHASER.**
- **AFTER THE SUBMISSION DEADLINE ADDITIONAL VETERINARY INFORMATION WILL NOT BE ACCEPTED AND MUST BE ANNOUNCED FROM THE AUCTION STAND.**

RULES FOR VIEWING RADIOGRAPHS

- Each veterinarian desiring to inspect the Radiographs on behalf of their client, must register in person at the Repository by completing a Veterinarian Registration form. Once completed they will receive a user name and password valid for the current sale only. Veterinarians are required to register with OBS in person for each sale.
- The user name and password will allow veterinarians to view radiographs in the Repository as well as remotely via the Asteris website.
- **THE USER NAME AND PASSWORD ARE FOR SINGLE USERS ONLY. VETERINARIANS ARE PROHIBITED FROM SHARING THEIR USER NAME AND PASSWORD WITH ANYONE ELSE (INCLUDING INTERNS AND EXTERNS) REGARDLESS IF THEY ARE REGISTERED USERS OR NOT.**
- For security purposes, the Repository software has tracking capabilities for the protection of the integrity of the repository process.
- **NO PERSON MAY REMOVE, COPY OR REPRODUCE THE RADIOGRAPHS FROM THE**

REPOSITORY.

SUMMARY OF OBS CONDITIONS SEVENTH AND EIGHTH

THERE IS NO LONGER A BONE WARRANTY FOR ANY HORSE WHETHER OR NOT THERE ARE RADIOGRAPHS IN THE REPOSITORY

Below is a summary of issues that must be announced, and issues that may be placed in the Repository or announced per Condition Seventh and Eighth of the Conditions of Sale. Please refer to the full version of the Conditions of Sale which may be found in the OBS catalog and the OBS website.

ANNOUNCEMENT REQUIRED whether or not the information is in the Repository (Warranty expiration in parentheses)

- Cribber (7 days)
- Ridgling, Gelding, or removal of testicle(s) (48 hours)
- Spayed filly or mare (48 hours)
- Starter's, Steward's, Veterinarian's List (45 days)
- Breeding status correction (24 hours)
- Reproductive surgeries within two years of date of sale (14 days)
 - C-Section
 - Cervix surgery
 - Removal of an ovary
 - Urethral extension

PLACED IN REPOSITORY OR Announcement allowed (Warranty expiration in parentheses)

- Impaired vision (48 hours)
- Invasive Joint Surgery: 2YO or less or unraced racing prospects, excluding horses that have raced or being sold for breeding purposes only (7 days)
- Check Ligament Surgery (48 hours)
- Throat Surgery: 2YO or less or unraced racing prospects, excluding horses that have raced or being sold for breeding purposes only (7 days)
- Abdominal Surgery within 2 years or resection at any time (7 days)
- Nerved: excluding horses sold for breeding purposes only (48 hours)

Conditions which are returnable that may NOT be announced or placed in repository (Warranty expiration in parentheses)

- Scope findings: After July 1st of a horse's yearling year, excluding horses that have raced or being sold for breeding purposes only (48 hours)
 - Epiglottic entrapment
 - Unable to fully abduct
 - Severe chondritis or deformed arytenoid
 - Sub-epiglottic cyst
 - Persistent Displacement soft palate
 - Rostral displacement palatopharyngeal arch
 - Cleft Palate
 - Other lesions which obstructs air flow
- Wobbler (7 days)
- Acute Laminitis: excluding horses sold for breeding purposes only (48 hours)
- Prohibited Practices (48 hours)
 - Shockwave
 - Acupuncture for throat function
 - Injection behind knee to conceal true conformation
 - Electrical device during training or under tack
 - Invasive practice concealing material defect or chronic lameness

Attachment 2

MINIMUM REQUIRED VIEWS

	<u>DICOM Tag- Left</u>	<u>DICOM Tag-Right</u>
<u>CARPUS</u>		
• Lateral/Medial Oblique (30° - 40°) (Dorsolateral 35°, to palmar medial oblique)	L Carpus DLPMO	R Carpus_ DLPMO
• Medial/Lateral Oblique (20° - 30°) (Dorsomedial 25°, to palmar lateral oblique)	L Carpus_ DMPLO	R Carpus_ DMPLO
• Flexed Lateral (Flexed lateral to medial)	L Carpus_FLM	R Carpus_ FLM
• Third Carpal Skyline View (2YO Only)	L Carpus_Sky_DRow	R Carpus _Sky__DRow
<u>FETLOCK</u>		
FRONT:		
• AP elevated 15° (Dorsal, 15 elevated, to palmar)	L FFetlock_ DP	R FFetlock DP
• Medial Oblique (Dorsomedial 30°, to palmar lateral oblique)	L FFetlock_ DMPLO	R FFetlock_ DMPLO
• Lateral Oblique (Dorsolateral 30°, to palmar medial oblique)	L FFetlock_ DLPMO	R FFetlock_ DLPMO
• Lateral (Flexed lateral to medial)	L FFetlock_ FLM	R FFetlock_ FLM
• Lateral (Standing lateral to medial)	L FFetlock_ SLM	R FFetlock_ SLM
HIND:		
• AP elevated 15° (Dorsal, 15° elevated, to plantar)	L HFetlock_ DP	R HFetlock_ DP
• Medial Oblique (Dorsomedial 30°, 15° elevated to plantar lateral oblique)	L HFetlock_ DMPLO	R HFetlock_ DMPLO
• Lateral Oblique (Dorsolateral 30°, 15° to palmar medial oblique)	L HFetlock_ DLPMO	R HFetlock_ DLPMO
• Standing Lateral to medial	L HFetlock_ LM	R HFetlock_ LM
NOTE: obliques should be elevated slightly to separate the sesamoid and P1 interface		
<u>HOCK</u>		
• Medial/Lateral Oblique (or 15° PALMO) (Dorsomedial 65° to plantar lateral oblique) -or- (Plantaro-lateral 25° to dorsomedial oblique)	L Hock_ DMPLO	R Hock_ DMPLO
• Off Center AP (Slightly Lateral) (Dorsolateral 10° to plantar medial)	L Hock_ CrCa	R Hock_ CrCa
• Lateral to Medial	L Hock_ LM	R Hock_ LM
<u>STIFLE</u>		
• Lateral to Medial	L Stifle_ LM	R Stifle_ LM
• 20° PALMO (Posterior lateral 20° to anterior medial oblique must include medial femoral condyle in its entirety)	L Stifle_ CaLCrMO	R Stifle_ CaLCrMO
• PA (Posterior/Anterior)	L Stifle_ PA	R Stifle_ PA

Attachment 5

CONSIGNOR AUTHORIZATION FORM

SALE _____

Consignor: _____

Address: _____

_Phone: _____ email: _____

Please initial only if you wish to confirm the following:

_____ I hereby instruct OBS to restrict access to Repository information on the horses in my consignment to Licensed veterinarians.

_____ I hereby authorize OBS to give to the Purchaser of a horse from my consignment the radiographs in the Repository.

Please identify Consignor Representatives below that may have access to one or both of the following:

Name _____

_____ I authorize the above person to receive the activity of our files in the Repository.

_____ I authorize the above person to pick up the information placed in the Repository (radiographs, certificates, etc.) on horses in my consignment no sooner than 72 hours after horse is sold.

Name _____

_____ I authorize the above person to receive the activity of our files in the Repository.

_____ I authorize the above person to pick up the information placed in the Repository (radiographs, certificates, etc.) on horses in my consignment no sooner than 72 hours after horse is sold.

Consignor's Signature _____

Date _____

These forms are available in the Repository and on the OBS website. Please return this completed form to the Repository.



PRACTICE: _____
ADDRESS: _____

PHONE: _____

Report of Radiographic Findings

Doctor: _____ Date: _____ Sale: _____

Hip # _____ Name: _____ Location: _____

Client/Consignor: _____

INTERPRETATION:

Note: NSA = no significant abnormalities

Left Front Fetlock: _____

Right Front Fetlock: _____

Left Carpus: _____

Right Carpus: _____

Left Hind Fetlock: _____

Right Hind Fetlock: _____

Left Tarsus: _____

Right Tarsus: _____

Left Stifle: _____

Right Stifle: _____

Additional Comments: _____

I (do _____) (do not _____) have direct financial interest in all or any part of this horse

This report and the findings contained herein are solely for the addressee and may not be used or relied upon by any other person or entity without the express written consent of _____. The interpretation of radiographs and findings may vary with the examiner, the type of examination requested, method of examination and a horse's changing condition. This is a report of the undersigned's findings, based on the type of examination requested by the addressee, on the date indicated. This report is limited to the findings contained herein and no other findings or opinions should be inferred beyond those expressly set forth herein. This report does not constitute a warranty or guarantee of any kind. This report is for use on horses intended for public auction while meeting the requirements of repositories currently in operation. It is not intended to be all inclusive but is meant to supply a workable format of reporting.

Read by: _____



PROTOCOL FOR PRE-SALE VIDEOENDOSCOPIC EXAMINATION OF THE UPPER AIRWAY AT PUBLIC AUCTION

- Equipment must be capable of producing a digital video image of excellent quality.
- Horse must be identified appropriately, and that identification must be in digital format with character generation on the screen, and / or video of the catalog page, followed by unbroken video of the face prior to introduction of the scope into the nares (right or left) and up to the larynx. This is a single stream video with no editing.
- The veterinarian performing the video endoscopy may upload the video at the same time radiographs are uploaded to the OBS repository. Veterinarians or the consignor may also submit each upper airway video on an individual flash drive or CD. Upper airway videos should be stored in a file separate from the radiographs.
- Standard technique must include maximal abduction of arytenoids induced by swallowing (multiple times) and nasal occlusion. The duration of the video must be sufficient to identify all laryngeal and pharyngeal structures as well as observing their maximal function within the context of a resting exam.
- The interval between the pre-sale videoendoscopic exam and the selling session will not exceed (10) days.